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*Admitted only in Maryland
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*Practice Limited to
Federal Agencies

February 4, 2003

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Commissioner for Patents
Washington, D.C. 20231

Attn: Office of Initial Patent
Examination's Filing
Receipt Corrections

Re: U.S. Utility Patent Application
Appl. No. 09/986,464; Filed: November 8, 2001
For: **Process for Preparing Latent Antithrombin III**
Inventor: Göran Karlsson
Our Ref: 2141.0020001/JAG/EEF/D-S

Sir:

Transmitted herewith for appropriate action are the following documents:

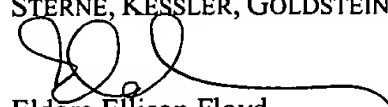
1. Request for Corrected Official Filing Receipt;
2. A photocopy of the Official Filing Receipt with corrections noted in red;
3. An original executed Supplemental Declaration for Patent Application;
4. A photocopy of the Preliminary Amendment filed March 8, 2002; and
5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

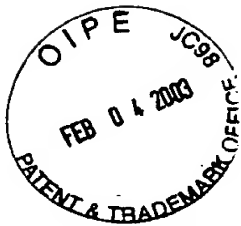
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.


Eldora Ellison Floyd
Attorney for Applicant
Registration No. 39,967

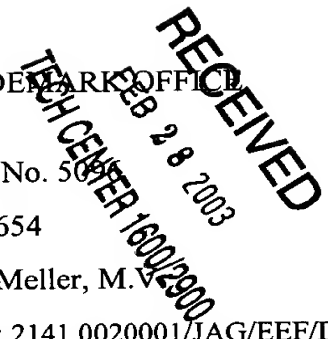
EEF/DS/nef
Enclosures

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Sterne, Kessler, Goldstein & Fox P.L.L.C. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Göran Karlsson

Appl. No. 09/986,464

Filed: November 8, 2001

For: **Process for Preparing Latent
Antithrombin III**

Confirmation No. 5084

Art Unit: 1654

Examiner: Meller, M.

Atty. Docket: 2141.0020001/JAG/EEF/D-S

Request for Corrected Official Filing Receipt

Commissioner for Patents
Washington, D.C. 20231

Attn: Office of Initial Patent
Examination's Filing
Receipt Corrections

Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested: **In the Foreign Applications section, please insert "THIS APPLN CLAIMS BENEFIT OF SWEDEN 0004086-5 11/08/2000."** In support of the above request the following are enclosed: (1) a photocopy of the instant Official Filing Receipt with the corrections noted in red, (2) an executed Supplemental Declaration and (3) a photocopy of our file copy of a Preliminary Amendment, filed March 8, 2002, amending the specification to cross-reference the foreign application. It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

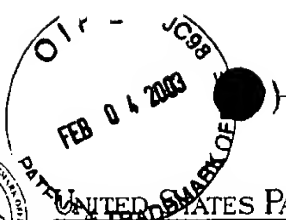
Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eldora Ellison Floyd
Attorney for Applicant
Registration No. 39,967

Date: 2/4/03

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/986,464	11/08/2001	1645	870	2081.0020001/EEF	2	20	1

CONFIRMATION NO. 5096

26111

 STERNE, KESSLER, GOLDSTEIN & FOX PLLC
 1100 NEW YORK AVENUE, N.W., SUITE 600
 WASHINGTON, DC 20005-3934

UPDATED FILING RECEIPT



OC000000007426570

Date Mailed: 02/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Goran Karlsson, Vallingby, SWEDEN;

Domestic Priority data as claimed by applicant

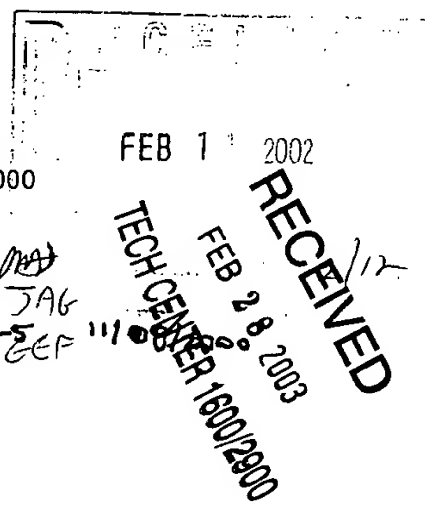
THIS APPLN CLAIMS BENEFIT OF 60/252,148 11/20/2000

Foreign Applications

THIS APPLN CLAIMS BENEFIT OF SWEDEN

If Required, Foreign Filing License Granted 11/21/2001

0004086-5



Projected Publication Date: 05/23/2002

Non-Publication Request: No

Early Publication Request: No

Title

Process for preparing latent antithrombin III

Preliminary Class

435

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED

FEB 28 2003

TECH CENTER 1600/2900

**SUPPLEMENTAL
Declaration for Patent Application**

Confirmation No. 5096

Docket Number: 2141.0020001/EEF/D-S (formerly 2081.0020001)

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled: **Process for Preparing Latent Antithrombin III,**

the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on November 8, 2001;
as United States Application Number or PCT International Application Number 09/986,464; and
was amended on March 8, 2002 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information that became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or (f), or § 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or § 365(a) of any PCT international application, which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

0004086-5
(Application No.)

Sweden
(Country)

08 November 2000
(Day/Month/Year Filed)

☒ Yes ☐ No

(Application No.)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

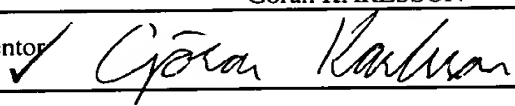

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Washington, D.C. 20005-3934

Direct Telephone Calls to:

(202) 371-2600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor	Göran KARLSSON	
Signature of sole or first inventor		January 9 2003  Date
Residence	Ekerö, Sweden	
Citizenship	Swedish	
Mailing Address	P-19-1 Octapharma AB, SE-11275	
	Stockholm, Sweden	
Full name of second inventor		
Signature of second inventor		Date
Residence		
Citizenship		
Mailing Address		



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FEB 28 2003

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Göran KARLSSON

Appl. No. 09/986,464

Filed: November 8, 2001

For: **Process for Preparing Latent
Antithrombin III**

Confirmation No.: 5096

Art Unit: 1645

Examiner: To be assigned

Atty. Docket: 2081.0020001/EEF

Preliminary Amendment

COPY

Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to prosecution on the merits, Applicant submits the following Amendment and Remarks. This Amendment is provided in the following format:

- (A) A clean version of each replacement paragraph/section/claim along with clear instructions for entry;
- (B) Starting on a separate page, appropriate remarks and arguments. 37 C.F.R. § 1.111 and MPEP 714; and
- (C) Starting on a separate page, a marked-up version entitled: "Version with markings to show changes made."

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net

addition of claims) are hereby authorized to be charged to our Deposit Account No. 19-0036.

Amendments

In the Specification:

Please substitute the following paragraphs/sections for the pending paragraphs/sections.

At page 1, lines 4-6, substitute the paragraph under the heading "Cross-reference to Related Applications" with the following paragraph:

This application claims priority under 35 U.S.C. §119 from prior U.S. Provisional application no. 60/252,148, filed November 20, 2000, which is incorporated herein by reference, and from Swedish application no. 0004086.5, filed November 8, 2000 in Swedish.

Remarks

The foregoing amendment is made so that the Cross-Reference to Related Applications reflects Applicant's priority claim. This change is believed to introduce no new matter, and its entry is respectfully requested.

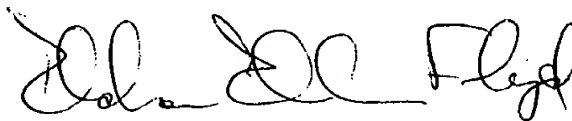
Conclusion

If the Examiner believes, for any reason, that personal communication will expedite prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

Prompt and favorable consideration of this Amendment is respectfully requested.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Eldora Ellison Floyd
Attorney for Applicant
Registration No. 39,967

Date: 3/8/02

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Version with markings to show changes made

At page 1, lines 4-6, the paragraph under the heading "Cross-Reference to Related Applications" was substituted with the following paragraph:

This application claims priority under 35 U.S.C. §119 from prior U.S. Provisional application no. 60/252,148, filed November 20, 2000, which is incorporated herein by reference, and from Swedish application no. 0004086.5, filed November 8, 2000 in Swedish.